

6) Local theory.

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Our goal is to describe the behavior of maps $f: X \rightarrow Y$ and their iterates locally at some fixed point $x_0 \in X$, i.e., on some neighborhood U of x_0 small enough. We need a few definitions.

Def: Let X be a topological space, and $p \in X$ a point.

We say that two sets $A, B \subset X$ are equivalent at p : $A \sim_p B$, if there exists a open $U \subset X$ neighborhood of p so that $A \cap U = B \cap U \neq \emptyset$.

An equivalence class of \sim_p is called a germ (of set) at p .

We denote the germ of a set A at p as (A, p) .

Analogously, let Y be another topological set.

~~We say that~~ let $f: U_f \rightarrow Y$ and $g: U_g \rightarrow Y$ be two continuous functions defined on neighborhoods U_f and U_g of p in X .

We say that $f \sim_p g$ if there exists a neighborhood V of p , $V \subset U_f \cap U_g$, so that $f|_V \equiv g|_V$. f, g can be seen as function from the germ (X, p) to Y .

An equivalence class of \sim_p in $\mathcal{C}^0(X, p), Y$ is called a germ (of continuous map) at p , denote it f_p .

Germ sets and germ of functions form a category.

Notice that germs of function are defined on germs of sets, and the value $f(p)$ is well defined.

If f_p is a germ of map at p , a representative is given by a map $f: U \rightarrow Y$ defined on a neighborhood U of p in X .

If $f_p: (X, p) \rightarrow Y$ is a germ of \mathcal{C}^0 map, $g_q: (Y, q) \rightarrow Z$ another at $q = f(p)$, then $h_p = g_q \circ f_p$ is well defined as a germ at p .

Take any representatives $f: U \rightarrow Y$ and $g: V \rightarrow Z$.

Then $f^{-1}(V)$ is an open neighborhood of p , and $h = g \circ f$ is well defined on $U \cap f^{-1}(V)$

If U', V' are other opens where f', g' are defined and give the same germ at p .

Then $h' = g' \circ f'$ is defined on $U' \cap f'^{-1}(V')$,

By definition, $\exists \tilde{U}, \tilde{V}, \tilde{U} \subset U \cap U', \tilde{V} \subset V \cap V'$ so that $f|_{\tilde{U}} = f'|_{\tilde{U}}, g|_{\tilde{V}} = g'|_{\tilde{V}}$, and $h = h'$ on $\tilde{U} \cap f^{-1}(\tilde{V})$.

We say that a germ $f: (X, p) \rightarrow (Y, q)$ is invertible if there exists another germ $g: (Y, q) \rightarrow (X, p)$ so that $(g \circ f)_p = id_p, (f \circ g)_q = id_q$.

Similarly, two germs of sets (A, p) and (B, q) are homeomorphic if $\exists \phi: (A, p) \rightarrow (B, q)$ invertible germ.

We now focus on the case of holomorphic maps on Riemann surfaces.

In particular, one calls a holomorphic germ the germ of a holomorphic map, defined on a neighborhood of a point $p \in X$, X Riemann surface.

By definition of Riemann surfaces, any germ (X, p) of a Riemann surface at a point p is biholomorphic to $(\mathbb{C}, 0)$, the biholomorphism given by a (germ of) chart centered at p .

Hence, holomorphic germs are (up to change of coordinates), always of the form $f: (\mathbb{C}, 0) \rightarrow \mathbb{C}$.

Being holomorphic maps analytical, f is determined by its expansion in Taylor series at 0.

Hence holomorphic germs correspond to convergent ~~power~~ power series:

$$\mathcal{O}_{\mathbb{C}, 0} = \mathbb{C}\{\! \{z\}\!\} = \left\{ \sum_{n \geq 0} a_n z^n \mid a_n \in \mathbb{C}, \exists r > 0 \text{ so that } f(z) \text{ converges } \forall z, |z| < r \right\}$$

Recall that the radius of convergence may be computed using the formula $\frac{1}{\rho} = \limsup_{n \rightarrow \infty} \sqrt[n]{|a_n|}$.

Hence $\sum a_n z^n$ is convergent $\Leftrightarrow \limsup_{n \rightarrow \infty} \sqrt[n]{|a_n|} < +\infty$.

$\Leftrightarrow \exists M > 0$
 $\Leftrightarrow \exists \alpha > 0$ such that $|a_n| \leq M \cdot \alpha^n \quad \forall n > 0. \quad (\Leftrightarrow \rho \leq \frac{1}{\alpha})$.

We denote by $\hat{\mathcal{O}}_{\mathbb{C},0} = \mathbb{C}[[z]]$ the ring of formal power series
 $= \left\{ \sum_{n \geq 0} a_n z^n \mid a_n \in \mathbb{C} \forall n \in \mathbb{N} \right\}$.

~~Notice~~ Notice that a holomorphic germ $f: (\mathbb{C},0) \rightarrow (\mathbb{C},0)$ is invertible
 $\Leftrightarrow f'(0) = a_1 \neq 0$ (by implicit/inverse function theorem)

Similarly, for a formal power series the value of f at 0 is well defined
($= a_0$), as the derivative $f' = \sum_{n \geq 1} n a_n z^{n-1}$, and hence $f^{(n)}(0) = n! a_n$.

A formal power series $f \in \mathbb{C}[[z]]$ is a unit (i.e. invertible with respect to the product) $\Leftrightarrow \exists g \in \mathbb{C}[[z]]$ st $fg = 1 \Leftrightarrow a_0 \neq 0$.

One can define the composition $g \circ f$ of two formal power series or for $f(0) = 0$ ($f \in z \mathbb{C}[[z]]$).

Then $f \in z \mathbb{C}[[z]]$ is invertible (with respect to composition) $\Leftrightarrow f'(0) = a_1 \neq 0$.

A fixed point p of a holomorphic selfmap $f: X \rightarrow X$ induces, by picking some coordinates at p , a holomorphic germ $f: (\mathbb{C},0) \rightarrow (\mathbb{C},0)$.

Our aim is to find some coordinates "better" than others, where the Taylor series of f is as simple as possible.

This brings us to the following definition.

Def: let $f, \tilde{f}: (\mathbb{C}, 0) \rightarrow (\mathbb{C}, 0)$ be two holomorphic germs fixing the origin (called also holomorphic fixed point germs)

We say that f and \tilde{f} are holomorphically / topologically / formally dense $f \approx \tilde{f}$, $\overset{hol}{\sim}$, $\overset{top}{\sim}$, $\overset{for}{\sim}$ or $\overset{analytically}{\sim}$

conjugate if there exists an invertible holomorphic germ / \mathcal{O} germ / formal power series, ~~is~~ $\Phi: (\mathbb{C}, 0) \rightarrow (\mathbb{C}, 0)$ so that $\Phi \circ f = \tilde{f} \circ \Phi$ $(\mathbb{C}, 0) \xrightarrow{\tilde{f}} (\mathbb{C}, 0)$

for a family $\mathcal{A} \subset \mathcal{O}_{(\mathbb{C}, 0)}$ $\approx \uparrow \tilde{f}$ $\approx \uparrow \tilde{f}$
A (holomorphic / topological / formal) conjugacy invariant \checkmark $(\mathbb{C}, 0) \xrightarrow{f} (\mathbb{C}, 0)$

is a function $I: \mathcal{A} \rightarrow S$ (S some set) so that if $f \approx \tilde{f} \Rightarrow I(f) = I(\tilde{f})$. If the opposite holds ($f \approx \tilde{f} \Leftrightarrow I(f) = I(\tilde{f})$), the invariant I is called Complete.

Given a family \mathcal{A} of (holomorphic) fixed point germs, a normal family \mathcal{F} of \mathcal{A} up to (hol. / top / for) conjugacy is a family of germs so that $\forall f \in \mathcal{A} \exists \tilde{f} \in \mathcal{F}, f \approx \tilde{f}$.

(Like this, we don't get much, we may ask that $\{\tilde{f} \in \mathcal{F} \mid f \approx \tilde{f}\}$ is finite.

Notice that if $f \overset{hol}{\sim} \tilde{f}$, then $f \overset{for}{\sim} \tilde{f}$ and $f \overset{top}{\sim} \tilde{f}$. The converse is not always true.

As an easy example of invariants:

Prop: The multiplier $f'(0)$ is a holomorphic and formal invariant of conjugacy.

The multiplicity $ord_0(f)$ at zero is a holomorphic, formal and topological invariant of conjugacy

Proof. The first statement follows from the chain rule of the derivative: $\tilde{F} \circ f = \tilde{F} \circ \tilde{F} \Rightarrow \tilde{F}'(0) f'(0) = \tilde{F}'(0) \cdot \tilde{F}'(0) \Rightarrow f'(0) = \tilde{F}'(0)$

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For the second statement:

Lemme: $\forall f, g \in \mathbb{C}[[z]], \text{ord}_0(f) \geq 1 \Rightarrow \text{ord}_0(g \circ f) = \text{ord}_0(g) \cdot \text{ord}_0(f)$.

Proof. Write $f(z) = a z^d (1 + \delta(z))$, $g(z) = b z^e (1 + \varepsilon(z))$, where $d \geq 1, e \geq 0, a, b \in \mathbb{C}^*$, and $o(z)$ denote suitable formal power series in $\mathbb{C}[[z]]$.

Then $g \circ f = b (a z^d (1 + \delta(z)))^e (1 + \varepsilon(f(z))) = b a^e z^{de} (1 + o(z))$.

Where we used the fact that $\text{ord}_0(\phi) \geq 1 \Leftrightarrow \phi(0) = 0 \Rightarrow \text{ord}_0(g \circ \phi) = de$.

Then $\text{ord}(\tilde{F} \circ f) = 1 \cdot \text{ord}(f) = \text{ord}(\tilde{F}) \cdot 1 = \text{ord}(\tilde{F} \circ \tilde{F})$, and

$\text{ord}_0(f)$ is a formal, and hence holomorphic invariant.

Note that if $f(z) = a z^d (1 + \delta(z))$, we may find a determination of $\sqrt[d]{z(1 + \delta(z))}$, i.e., a holomorphic function $\tilde{z}(1 + \tilde{\delta}(z))$ so that $(\tilde{z}(1 + \tilde{\delta}(z)))^d = z(1 + \delta(z))$. By replacing z by $\tilde{z} = \tilde{z}(1 + \tilde{\delta}(z))$, we may assume that $f(z) = z^d$ (not a conjugacy).

In particular any point $w \neq 0$ in a neighborhood of 0 has exactly d preimages. Being the number of preimages a topological invariant (homeomorphisms are bijections), $\text{ord}_0(f)$ is a topological invariant.

We now study the classification of holomorphic selfmaps $f: (\mathbb{C}, 0) \rightarrow (\mathbb{C}, 0)$ up to (holomorphic/formal/topological) conjugacy.

I Attracting case:

$f_1(D, 0) \ni$, with multiplier $\lambda = f'(0)$ satisfying $0 < |\lambda| < 1$.

Theorem: Any attracting germ $f: (D, 0) \ni$ is holomorphically conjugate to $\tilde{f}(z) = \lambda z$. ($\lambda = f'(0)$).

The conjugacy Φ between f and \tilde{f} is unique if we impose $\Phi(0) = 0$. ^{the value of}

Proof. (I) we want to solve the equation $\Phi \circ f = \tilde{f} \circ \Phi$. (*)

Write $f(z) = \lambda z(1 + \delta(z))$, $\tilde{f}(z) = \lambda z$, $\Phi(z) = z(1 + \phi(z))$.

Then (*) becomes: $\lambda z(1 + \delta)(1 + \phi \circ f) = \lambda z(1 + \phi)$.

$\hookrightarrow (1 + \delta)(1 + \phi \circ f) = 1 + \phi$.

Formally, a solution could be given by $(1 + \phi) = (1 + \delta)(1 + \delta \circ f) \dots = \prod_{n \geq 1} (1 + \delta \circ f^{n-1})$.

We want to show that this infinite product converges.

Lemma: $\prod_{n \geq 0} (1 + \delta_n(z))^{d_n}$ converges on a neighborhood of 0 \Leftrightarrow

$\sum d_n \delta_n(z)$ converges on a neighborhood of 0. ($d_n \in \mathbb{R}$, $\delta_n(0) = 0$)

^(idea) Proof: Convergences are uniform on some disc $\{|z| \leq R\}$ for $R \ll 1$.

Note that if $\sum d_n \delta_n(z)$ converges then $|d_n \delta_n(z)| \rightarrow 0$ uniformly on z .

In this case we have $\frac{(1 + \delta_n(z))^{d_n} - 1}{d_n \delta_n} \rightarrow 1$ and $\sum d_n \delta_n$ converges.

$\Leftrightarrow \sum ((1 + \delta_n)^{d_n} - 1)$ does. Hence we may suppose $d_n = 1 \forall n \in \mathbb{N}$.

$\prod (1 + \delta_n(z))$ converges $\Leftrightarrow \log \prod (1 + \delta_n(z)) = \sum \log(1 + \delta_n(z))$ converges.

Here \log is the principal determination of logarithm, which is well

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Deferred because in order to have convergence, S_n must be uniformly close to 0, hence away from -1.

But since $\frac{\log(1+S_n)}{S_n} \rightarrow 1$ when $S_n \rightarrow 0$, we have that

$\sum \log(1+S_n)$ converges $\Leftrightarrow \sum S_n$ does □

Hence we want to show that $\sum |S \circ f^{n-1}(z)| < \infty$ (uniformly on some neighborhood of the origin).

Since f is attracting, $\exists R \ll 1$, and $\Lambda, |\lambda| < \Lambda < 1$, so that

$|f(z)| \leq \Lambda |z| \quad \forall z \in D(0, R)$, and by induction $|f^n(z)| \leq \Lambda^n |z|$.

By continuity, $\exists C \gg 0$ so that $|S(z)| \leq C |z| \quad \forall z \in D(0, R)$.

Hence $\sum |S \circ f^{n-1}(z)| < C \cdot \sum_{n \geq 0} \Lambda^{n-1} |z| = \frac{C}{1-\Lambda} |z|$, and this product converges.

Ⓛ. Suppose there are two conjugacy maps Φ_1 and Φ_2 .

Then $\tilde{f} = \Phi_1 \circ \Phi_2^{-1}$ would be a local automorphism commuting with f .

Write $\tilde{f}(z) = z \sum_{n \geq 0} \phi_n z^n$. Then: $\tilde{f} \circ f = f \circ \tilde{f}$ gives, (note: $\phi_0 = \tilde{f}'(z)/f'(z) = 1$)

$\lambda z \cdot \sum \phi_n (\lambda z)^n = \lambda z \sum \phi_n z^n$. From which we infer: $\lambda^n \phi_n = \phi_n \quad \forall n \in \mathbb{N}$.

For $n=0$, we get $\phi_0 = \phi_0$, a moot condition, but by hypothesis $\phi_0 = 1$.

For $n > 0$, since $|\lambda| < 1$, we get $\lambda^n \neq 1$ and $\phi_n = 0$. □

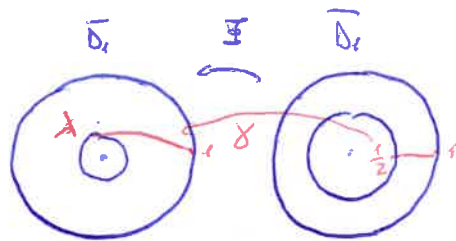
In particular, for attracting germs, the multiplexer is a complex invariant of formal and holomorphic conjugacy, and a normal family is given by

linear maps $\{z \mapsto \lambda z\}$

For the topological classification, we will show that:

Thesem: The ~~map~~ map $f(z) = \lambda z$, $\lambda \in \mathbb{D}(0,1) - \{0\}$ is topologically conjugated to the map $f(z) = \frac{1}{2}z$.

Proof ~~Let~~ $D_2 = \mathbb{D}(0,2)$, $C_2 = \partial D_2$, \mathbb{A}



We will define a topologic conjugacy

$$\Phi: \bar{D}_1 \rightarrow \bar{D}_2, \text{ satisfying } \Phi \circ g = f \circ \Phi,$$

where $g(z) = \frac{1}{2}z$ and $f(z) = \lambda z$.

Set $A_0 = \bar{D}_1 \setminus D_{\frac{1}{2}}$ the ^{closed} annulus centered at 0 of radii $\frac{1}{2}$ and 1, and similarly

$$B_0 = \bar{D}_2 \setminus D_{1,1}.$$

Let $\gamma: [\frac{1}{2}, 1] \rightarrow B_0$ be a path joining $\gamma(\frac{1}{2}) = \lambda$ and $\gamma(1) = 1$, so that

$$|\gamma(t)| = 2(1-2t)(t-1) + 1 \text{ (it suffices that } \#(\gamma([t, 1]) \cap C_2) = 1 \forall t \in [t, 1])$$

We define $\Phi_0: A_0 \rightarrow B_0$ by the formula $\Phi_0(t e^{i\theta}) = \gamma(t) e^{i\theta} \quad \forall t \in [\frac{1}{2}, 1], \theta \in [0, 2\pi]$.

Notice that Φ_0 is a homeomorphism. Moreover, $\forall z \in C_1$, we have that

$$\Phi_0(g(z)) = \Phi_0(\frac{1}{2}z) = \gamma(\frac{1}{2}) \cdot z = \lambda z = \lambda \Phi_0(z) \quad (*)$$

Rem. The set $\bar{D}_1 \setminus \bar{D}_{\frac{1}{2}} = A_0$ is what is called a fundamental domain for the map $g|_{A_0}$. $\forall z \in \mathbb{C}, \exists ! \frac{z}{2^n} \in A_0$ such that $g \circ g \circ \dots \circ g(z) = \frac{z}{2^n} \in A_0$.
(~~And~~ Make sense when g is invertible, \rightarrow the action of $\{g^n \mid n \in \mathbb{Z}\}$)

\hookrightarrow sometimes one asks for fundamental domains to be closed, and for the unicities to hold on subsets containing A_0 .

Let now $z \in \mathbb{C}$ be any point. We define

$$\Phi(z) = f^n \circ \Phi_0 \circ g^{-n}(z) \text{ for any } n \in \mathbb{Z} \text{ so that } g^{-n}(z) \in A_0, \text{ and } \Phi(0) = 0.$$

$$\text{in other terms, } \Phi(z) = f^n(\Phi_0(g^{-n}(z))) \quad \forall z, \frac{1}{2^{n+1}} \leq |z| \leq \frac{1}{2^n}.$$

By (*), Φ is well defined continuous map on \mathbb{C}^* , and it is also clearly

continuous at 0. it is also bijective with continuous inverse ~~defined~~ 6.3

$$\text{by } \Phi^{-1}(w) = \begin{cases} 0 & w=0 \\ g \circ \Phi_0^{-1} \circ f^{-1}(w) & \forall w, \frac{1}{|w|^{2H}} \leq |w| \leq \frac{1}{|w|^2} \end{cases} \quad \square$$

Hence All attracting germs are conjugated to the same map $z \mapsto \frac{1}{2}z$.

Repelling case

Corollary: Any repelling germ $f: (\mathbb{C}, 0) \rightarrow (\mathbb{C}, 0)$, with $f'(0) = \lambda$, $|\lambda| > 1$, is holomorphically and formally conjugated to $\tilde{f}(z) = \lambda z$

(with a unique conjugacy with prescribed derivative at 0);

it is topologically conjugated to $z \mapsto 2z$.

Proof: It follows directly from the analogous results for attracting

germs applied to f^{-1} .

Rem: These results work also on any (algebraically closed) field, even in positive characteristic.

II Superattracting case

Theorem (Böttcher, 1904) Any superattracting germ $f: (\mathbb{C}, 0) \rightarrow (\mathbb{C}, 0)$ is holomorphically conjugated to $\tilde{f}(z) = z^d$, $d \geq 2$.

The conjugacy map is unique up to multiplication by a $(d-1)$ -th root of unity.

Proof: \square We write in some coordinates $f(z) = az^d(1 + \delta(z))$, where $d \geq 2$ is the order of f , and $\delta: (\mathbb{C}, 0) \rightarrow (\mathbb{C}, 0)$ is a suitable holomorphic germ.

First of all, we may apply a linear change of coordinates $\Phi(z) = \alpha z$.

$$\text{We get } \Phi \circ f \circ \Phi^{-1}(z) = \alpha f\left(\frac{1}{\alpha}z\right) = \alpha a \left(\frac{1}{\alpha}\right)^d z^d (1 + \delta\left(\frac{z}{\alpha}\right)).$$

by taking α so that $\alpha^{d-1} = a$, we may ensure $a = 1$.

The conjugacy equation then becomes: $(\Phi(z) = z(1 + \phi(z)))$

$$\Phi \circ f = \tilde{f} \circ \Phi \Leftrightarrow z^d(1 + \delta)(1 + \phi \circ f) = z^d(1 + \phi)^d \Leftrightarrow$$

$$(1 + \delta)(1 + \phi \circ f) = (1 + \phi)^d$$

A candidate solution is given by $(1 + \phi(z)) = \prod_{n \geq 1} (1 + \delta \circ f^{n-1}(z))^{\frac{1}{d^n}}$

Notice that the expression makes sense as far as $\delta \circ f^{n-1}(z)$ is small.

But since f is contracting (actually superattracting), we have that $\forall \lambda > 0, \exists R, \forall z, |z| \leq R$

$$|f(z)| \leq \lambda |z| \text{ if } |z| \leq R. \text{ By induction, } |f^n(z)| \leq \lambda^n |z| \forall z, |z| \leq R.$$

Similarly, $\exists M >> 0$ so that $|\delta(z)| \leq M|z|$.

By the criterion of convergence of infinite products, we need to check

that $\sum_{n \geq 1} \frac{1}{d^n} \delta \circ f^{n-1}(z)$ is a convergent series. For $|z| \leq R$, we have:

$$\sum_{n \geq 1} \frac{1}{d^n} |\delta \circ f^{n-1}(z)| \leq \sum_{n \geq 1} \frac{1}{d^n} \cdot M \cdot \lambda^{n-1} |z| \leq \frac{M}{1-\lambda} |z| < +\infty.$$

Hence $1 + \phi$ converges, and $\Phi(z) = z(1 + \phi(z))$ is the wanted conjugacy.

Ⓛ If Φ_1 and Φ_2 are two conjugacies, then $\tilde{\Phi} = \Phi_1 \circ \Phi_2^{-1}$ must be a local automorphism commuting with \tilde{f} .

Write $\tilde{\Phi}(z) = z \sum_{n \geq 0} \phi_n z^n$. The equation $\tilde{\Phi} \circ \tilde{f} = \tilde{f} \circ \tilde{\Phi}$ becomes:

$$z^d \sum \phi_n z^{nd} = z^d (\sum \phi_n z^n)^d$$

For $n=0$, we get $\phi_0 = \phi_0^d \Rightarrow \phi_0^{d-1} = 1$ and ϕ_0 is a d -root of unity

We argue by contradiction, and assume that there is $n_0 > 0$ so that $\phi_{n_0} \neq 0$.

Then $\sum \phi_n z^{nd} = \phi_0 + \phi_{n_0} z^{n_0 d} + \text{h.o.t.}$, while \uparrow We take n_0 minimal

$$\left(\sum \phi_n z^n\right)^d = \phi_0^d + d \cdot \phi_{n_0} \phi_0^{d-1} z^{n_0} + h.o.t.$$

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The coefficient $d \phi_{n_0} \phi_0^{d-1} \neq 0$, and the exponent $n_0 < n_{od}$, since $d \geq 2$. This gives a contradiction (n_0 was minimal). □

Rem: This result works for any field of characteristic 0, as long as $\forall z, \exists \pm$ so that $z^{\pm 1} = z$. If not, $f = z \mapsto z^d$ in any case.

In characteristic p the situation is far more complicated.

One can see it on the proof of uniqueness for example: $d \phi_{n_0} \phi_0^{d-1} = 0$ if $p | d$, $p = d \cdot k$.

A more direct way to notice it is the example: $f(z) = z^p(1+z)$

Its derivative is $f'(z) = p z^{p-1} + (p+1) z^p = z^p$ (in char p .)

while $\tilde{f}(z) = z^p$ has everywhere vanishing derivative. Hence $f \neq \tilde{f}$ for

Hence, in this case, formal, holomorphic and topological classifications coincide (being $d = \text{ord}_0(f)$ an invariant in all such cases)

III Parabolic / Tangent to the identity case.

We consider now the case of $f: (\mathbb{C}, 0) \ni$ with $\lambda = f'(0)$ a root of unity: $\lambda = e^{\frac{2\pi i p}{q}}$, $q \in \mathbb{N}^*$, $p \in \mathbb{Z}$, $(p, q) = 1$.

In local coordinates, we can write $f(z) = \lambda z(1 + o(1))$.

Notice that the q -th iterate of f will be $f^q(z) = \frac{\lambda^q}{1} z^q (1 + o(1))$

Def: $f: (\mathbb{C}, 0) \ni$ is called tangent to the identity if $\lambda = f'(0) = 1$.

In this case, we write $f(z) = z(1 + a z^2 + o(z^2))$ for some $a \geq 1, a \neq 0$